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612-455-3801

HSML, P.C.

PAGE 07/12

S/N

10/553,943

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

ASADA et al.

Examiner:

Unknown

Serial No.:

10/553,943

Group Art Unit:

Unknown

Filed:

October 20, 2005

Docket:

8279.974USWO

Title:

NOVEL SUBSTRATE HAVING ALPHA-GLUCOSIDASE

INHIBITING ACTIVITY AND FOOD CONTAINING THE

SAME

CERTIFICATE UNDER 37 CFR 1.6(d): I here by certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on February 2006.

Name: Ralynn Wilhelm

INFORMATION DISCLOSURE STATEMENT(37 C.F.R. § 1.97(c))

Mail Stop AMENDMENTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449. Applicants respectfully bring to the Examiner's attention that thirteen of the references listed on the enclosed Form 1449 were cited in an International Search Report, enclosed herewith.

Applicants believe that the references from the ISR are available to the Examiner and therefore are not supplying copies.

A concise explanation of the relevance of each non-English language document or other information is a follows (37 C.F.R. §(a)(3)):

> US 6,376,682 is equivalent to JP 11-29472. An English abstract has been provided for the following: JP 2000-86653, JP 2001-103928, JP 2002-104979, JP 2003-171299.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER & LARSON, P.C. P.O. Box 2902-0902

Minneapolis, MN 55402-0902 (612) 455\3890

Douglas P. Mueller

Rcg. No. 30,300

PATENT TRADEMARK OFFICE

Dated: February [1]

DPM/rkw

Date Mailed; February 14, 2006

Sheet 1 of 1

| FORM 1449* INFORMATION DISCLOSURE STATEMENT | Docket Number: 8279.0974USWO | Application Number: 10/553,943 | | |
|---|---------------------------------|-----------------------------------|--|--|
| IN AN APPLICATION | Applicant: ASADA et al. | | | |
| (Usc several sheets if necessary) | Filing Date: October 20, 2005 | Group Art Unit: unknown | | |

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|---------------------|--------------|---|-------------------|--|---|--|-----------------------------------|--------------------|--|
| EXAMINER INITIAL | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | | |
| | | | April 2002 | Yamahara | | | | | |
| | | | FOR | EIGN PATENT DOC | UMENTS | | | | |
| | DOCUMENT NO. | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | | |
| ***** | | | | | YES | | NO | | |
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52835 PATENT TRADEMARK OFFICE

EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

*Substitute Disclosure Statement Form (PTO-1449)

Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PAGE 9/12 * RCVD AT 2/14/2006 3:27:38 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/30 * DNIS:2733201 * CSID:612-455-3801 * DURATION (mm-ss):03-18

